



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/769,822

Applicant:

Haeng Woo LEE

Filed:

February 2, 2004

Art Unit:

1651

Examiner:

unknown

Title:

COMPOSITION FOR PREVENTION AND

TREATMENT OF OBESITY, CARDIOVASCULAR

AND CORONARY ARTERY DISEASE

Docket No.:

04237369

Customer No.:

26565

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO/SB/08A form. The references submitted in this Information Disclosure Statement were cited in the International Search Report of a corresponding PCT Application No. PCT/US04/02812 (copy enclosed).

This Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits. Therefore, Applicant believes no fee is due in connection with this filing.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each of all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not

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constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

The Commissioner is hereby authorized to charge any additional filing fees required under Rule 1.17 concerning this transaction, or to credit any overpayment to Deposit Account 13-0019.

Respectfully submitted,

Amanda T. Barry

Reg. No. 51,435

Date: November 23, 2004

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Substitute for form 1449/PTO		Complete if Known			
			OL COURT	Application Number	10/769,822
INFORMATION DISCLOSURE				Filing Date	February 2, 2004
STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	Haeng Woo LEE
			necessary)	Art Unit	1651
				Examiner Name	Unknown
Sheet	1		1	Attorney Docket Number	02003098

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. '	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)		Applicant of Cited Document	
		6,384,085	May 7, 2002	Lee et al.	
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		FOREIC	ON PATENT DOCUMEN	TS		
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	$\Box$
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional), 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 90.104. 'Enter Office that issued the document, by the two-letter code (NIPPO Standard ST.3), 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. "Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the inclinidated case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.